

INVITATION TO INTER-UNIVERSAL TEICHMÜLLER THEORY (EXPANDED VERSION)

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“Travel and Lectures”

- §1. Hodge-Arakelov-theoretic Motivation
- §2. Teichmüller-theoretic Deformations
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§1. Hodge-Arakelov-theoretic Motivation

For $l \geq 5$ a prime number, the module of l -torsion points associated to a **Tate curve** $E \stackrel{\text{def}}{=} \mathbb{G}_m/q^{\mathbb{Z}}$ (over, say, a p -adic field or \mathbb{C}) fits into a natural exact sequence:

$$0 \longrightarrow \mu_l \longrightarrow E[l] \longrightarrow \mathbb{Z}/l\mathbb{Z} \longrightarrow 0$$

That is to say, one has canonical objects as follows:

a “**multiplicative subspace**” $\mu_l \subseteq E[l]$ and “**generators**” $\pm 1 \in \mathbb{Z}/l\mathbb{Z}$

In the following, we fix an elliptic curve E over a number field F and a prime number $l \geq 5$. Also, we suppose that E has stable reduction at all finite places of F .

Then, in general, $E[l]$ does not admit

a **global “multiplicative subspace”** and “**generators**”

that coincide with the above canonical “multiplicative subspace” and “generators” at all finite places where E has bad mult. reduction! Nevertheless, let us suppose (!!) that such global objects do in fact exist. Then the **Fundamental Theorem** of **Hodge-Arakelov Theory** may be formulated as follows:

$$\Gamma(E^\dagger, \mathcal{L})^{<l} \quad \xrightarrow{\sim} \quad \bigoplus_{j=-l^*}^{l^*} \underline{q}^{j^2} \cdot \mathcal{O}_F$$

— where

- $E^\dagger \rightarrow E$ is the “**universal vectorial extension**” of E ;
- “ $< l$ ” is the “relative degree” w.r.t. this extension; $l^* \stackrel{\text{def}}{=} (l-1)/2$;
- \mathcal{L} is a line bundle that arises from a (nontrivial) 2-torsion point;
- “ q ” is the q -parameter at bad places of F ; $\underline{q} \stackrel{\text{def}}{=} q^{1/2l}$;
- **LHS** admits a **Hodge filtration** F^{-i} s.t. $\underline{F}^{-i}/F^{-i+1}$ is (roughly)

$$\xrightarrow{\sim} \omega_E^{\otimes(-i)} \quad (i = 0, 1, \dots, l-1; \omega_E = \text{cotang. bun. at the origin});$$
- **RHS** admits a natural **Galois action** compatible with “ \bigoplus ”.

This isom. is, *a priori*, only defined/ F , but is in fact (essentially) compatible with the natural **integral structures/metrics** at all places of F .

A similar isom. may be considered over the moduli stack of elliptic curves. The proof of such an isom. is based on a computation, which shows that the degrees $[-]$ of the vector bundles on either side of the isom. coincide:

$$\begin{aligned} \frac{1}{l} \cdot \text{LHS} &\approx -\frac{1}{l} \cdot \sum_{i=0}^{l-1} i \cdot [\omega_E] \approx -\frac{l}{2} \cdot [\omega_E] \\ \frac{1}{l} \cdot \text{RHS} &\approx -\frac{1}{l^2} \cdot \sum_{j=1}^{l^*} j^2 \cdot [\log(q)] \approx -\frac{l}{24} \cdot [\log(q)] = -\frac{l}{2} \cdot [\omega_E] \end{aligned}$$

On the other hand, returning to the situation over number fields, since F^i is not compatible with the above direct sum decomposition, it follows that, by projecting to the factors of this direct sum decomp., one may construct a sort of relative of the so-called “arithmetic Kodaira-Spencer morphism”, i.e., for (most) j , a (nonzero) morphism of line bundles

$$(\mathcal{O}_F \approx) F^0 \hookrightarrow \underline{\underline{q}}^{j^2} \cdot \mathcal{O}_F.$$

Since, moreover, $\deg_{\text{arith}}(F^0) \approx 0$, it follows that, if we denote the “height” determined by the log. diffs. $\Omega_{\mathcal{M}}^{\log}|_E$ associated to the moduli stack of elliptic curves by $\text{ht}_E \stackrel{\text{def}}{=} 2 \cdot \deg_{\text{arith}}(\omega_E) = \deg_{\text{arith}}(\Omega_{\mathcal{M}}^{\log}|_E)$, then we obtain an inequality (!) as follows:

$$\frac{1}{6} \cdot \deg_{\text{arith}}(\log(q)) = \text{ht}_E < \text{constant}$$

In fact, of course, since the global multiplicative subspace and generators which play an essential role in the above argument do not, in general, exist, this argument cannot be applied immediately in its present form.

This state of affairs motivates the following approach, which may appear somewhat far-fetched at first glance! Suppose that the assignment

$$\left\{ \underline{\underline{q}}^{j^2} \right\}_{j=1, \dots, l^*} \mapsto \underline{\underline{q}}$$

somehow determines an automorphism of the number field F ! Such an “automorphism” necessarily preserves degrees of arithmetic line bundles. Thus, since the absolute value of the degree of the RHS of the above assignment is “small” by comparison to the absolute value of the (average!) degree of the LHS, we thus conclude that a similar inequality (!) holds:

$$\frac{1}{6} \cdot \deg_{\text{arith}}(\log(q)) = \text{ht}_E < \text{constant}$$

Of course, such an automorphism of a NF does **not** in fact **exist**!! On the other hand, what happens if we regard the “ $\{\underline{q}^{j^2}\}$ ” on the LHS and the “ \underline{q} ” on the RHS as belonging to **distinct** copies of “**conventional ring/scheme theory**” = “**arithmetic holomorphic structures**”, and we think of the assignment under consideration

$$\left\{ \underline{q}^{j^2} \right\}_{j=1, \dots, l^*} \mapsto \underline{q}$$

— i.e., which may be regarded as a sort of “**tautological solution**” to the “**obstruction to applying HA theory to diophantine geometry**”

— as a sort of **quasiconformal map** between Riemann surfaces equipped with **distinct holomorphic structures**?

That is to say, this approach allows us to realize the assignment under consideration, albeit at the cost of **partially dismantling** conventional ring/sch. theory. On the other hand, this approach requires us

to compute just how much of a distortion occurs

as a result of dismantling = **deforming** conventional ring/scheme theory. This **vast computation** is the **content of IU_{Teich}**.

In conclusion, at a concrete level, the “distortion” that occurs at the portion labeled by the index j is (roughly)

$$\leq j \cdot \log\text{-diff}_F.$$

In particular, by the **exact same** computation (i.e., of the “leading term” of the **average** over j) as the computation discussed above in the case of the moduli stack of elliptic curves, we obtain the following **inequality**:

$$\frac{1}{6} \cdot \deg_{\text{arith}}(\log(q)) = \text{ht}_E \leq (1 + \epsilon) \log\text{-diff}_F + \text{constant}$$

This inequality is the content of the so-called

Szpiro Conjecture (\iff **ABC Conjecture**).

§2. Teichmüller-theoretic Deformations

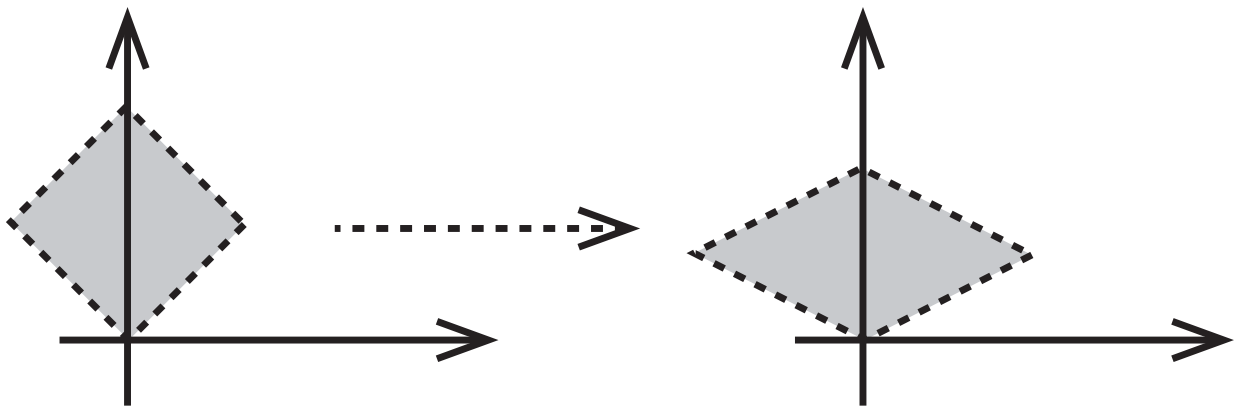
Classical Teichmüller theory over \mathbb{C} :

Relative to the canonical coordinate $z = x + iy$ (associated to a square differential) on the Riemann surface, Teichmüller deformations are given by

$$z \mapsto \zeta = \xi + i\eta = Kx + iy$$

— where $1 < K < \infty$ is the dilation factor.

Key point: **one** holomorphic dim., but **two** underlying real dims., of which **one** is dilated/deformed, while the **other** is left fixed/undeformed!



p -adic Teichmüller theory:

- p -adic canonical liftings of a hyperbolic curve in positive characteristic equipped with a nilpotent indigenous bundle
- canonical Frobenius liftings over the ordinary locus of the moduli stack of curves, as well as over tautological curve — cf. the metric on the Poincaré upper half-plane, Weil-Petersson metric in the theory/ \mathbb{C} .

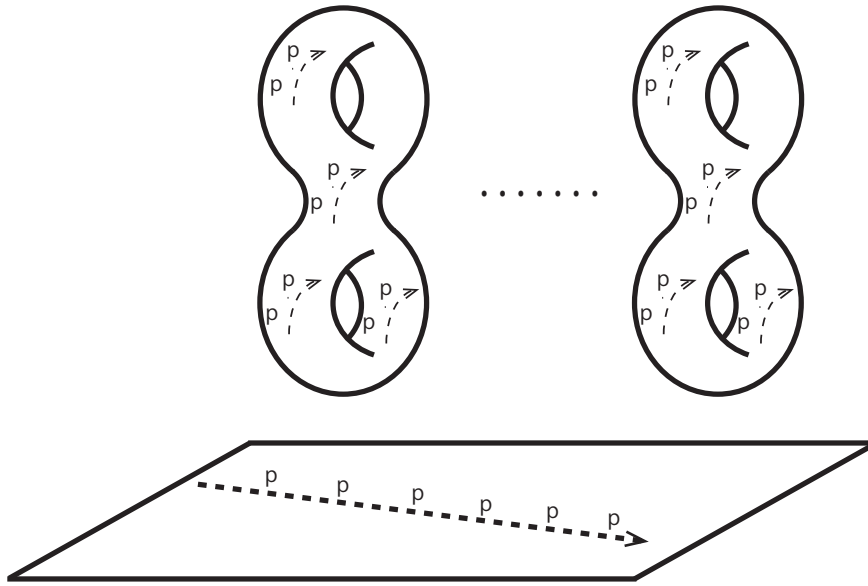
Analogy between IUteich and p Teich:

conventional scheme theory/ \mathbb{Z} \longleftrightarrow scheme theory/ \mathbb{F}_p

number field (+ fin. many places) \longleftrightarrow hyperbolic curve in pos. char.

once-punctured elliptic curve/NF \longleftrightarrow nilpotent indigenous bundle

log- Θ -lattice \longleftrightarrow p -adic canonical lifting + canonical Frob. lifting



The arithmetic case: addition and multiplication, cohom. dim.:

Regard the **ring structure** of rings such as \mathbb{Z} as a

one-dimensional “arithmetic holomorphic structure”!

— which has

two underlying combinatorial dimensions!

“addition” and “multiplication”

$(\mathbb{Z}, +)$ \curvearrowright (\mathbb{Z}, \times)

one combinatorial dim.

one combinatorial dim.

— cf. the **two cohomological dims.** of the absolute Galois group of

- a (totally imaginary) number field $F/\mathbb{Q} < \infty$,
- a p -adic local field $k/\mathbb{Q}_p < \infty$,

(Note: the pro- l -related portion of $\text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)$ is $\approx \mathbb{Z}_l \rtimes \mathbb{Z}_l^\times$),

as well as the **two underlying real dims.** of

- \mathbb{C}^\times .

Units and value groups:

In case of a p -adic local field $k/\mathbb{Q}_p < \infty$, one may also think of these **two underlying combinatorial dimensions** as follows:

$$\begin{array}{ccc} \mathcal{O}_k^\times & \subseteq & k^\times \quad \twoheadrightarrow \quad k^\times / \mathcal{O}_k^\times (\cong \mathbb{Z}) \\ \text{one combinatorial dim.} & & \text{one combinatorial dim.} \end{array}$$

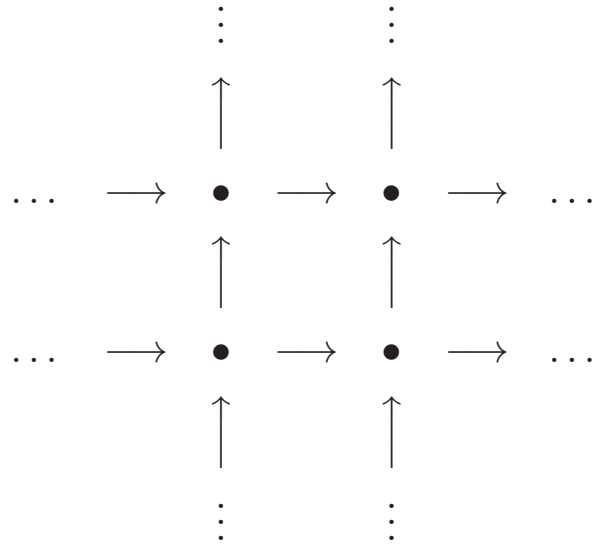
— cf. the direct product decomp. in the complex case: $\mathbb{C}^\times = \mathbb{S}^1 \times \mathbb{R}_{>0}$.

In IUteich, we shall **deform the holom. str. of the number field** by **dilating the value groups** via the **theta function**, while leaving the **units undilated!**

§3. **The Log-theta-lattice**

Noncommutative (!) 2-dim. diagram of Hodge theaters “•”:

2 dims. of the diagram \longleftrightarrow **2 comb. dims.** of a p -adic local field!



Analogy between IUteich and p Teich:

• = a copy of scheme theory/ \mathbb{Z} \longleftrightarrow a copy of scheme theory/ \mathbb{F}_p

\uparrow = log-link \longleftrightarrow the Frob. morphism in pos. char.

\longrightarrow = Θ -link \longleftrightarrow $\left(p^n/p^{n+1} \rightsquigarrow p^{n+1}/p^{n+2} \right)$

$[\Theta^{\pm\text{ell}}\text{NF-}]$ Hodge theaters:

A “[$\Theta^{\pm\text{ell}}\text{NF-}$]Hodge theater” is a model of the **conventional scheme-theoretic arithmetic geometry** surrounding an elliptic curve E over a number field F . At a more concrete level, it is a complicated system of **abstract monoids** and **Galois groups/arith. fund. gps.**

that arise naturally from E/F and its various localizations.

The **principle** that underlies this system: the system serves as a **bookkeeping apparatus** for the **l -tors. points** that allows one to simulate a **global multiplicative subspace + generators** (cf. §1)!

\rightsquigarrow \mathbb{F}_l^* -, $\mathbb{F}_l^{\times\pm}$ -symmetries

(where $\mathbb{F}_l^* \stackrel{\text{def}}{=} \mathbb{F}_l^\times / \{\pm 1\}$, $\mathbb{F}_l^{\times\pm} \stackrel{\text{def}}{=} \mathbb{F}_l^\times \rtimes \{\pm 1\}$)

$$\begin{array}{ccc}
 \begin{array}{c} \{\pm 1\} \\ \curvearrowright \end{array} & \begin{pmatrix} -l^* < \dots < -1 < 0 \\ < 1 < \dots < l^* \end{pmatrix} & \Rightarrow \begin{bmatrix} 1 < \dots \\ < l^* \end{bmatrix} \Leftarrow \begin{pmatrix} 1 < \dots \\ < l^* \end{pmatrix} \\
 & \Downarrow & \Downarrow \\
 \begin{array}{ccc} \pm & \rightarrow & \pm \\ \uparrow & \mathbb{F}_l^{\times\pm} & \downarrow \\ & \curvearrowright & \\ \pm & \leftarrow & \pm \end{array} & & \begin{array}{ccc} * & \rightarrow & * \\ \uparrow & \mathbb{F}_l^* & \downarrow \\ & \curvearrowright & \\ * & \leftarrow & * \end{array}
 \end{array}$$

Hint that underlies the construction of this apparatus: **global mult. s/sp.** on the moduli stack of elliptic curves over \mathbb{Q}_p , as in **p -adic Hodge theory**

(p -adic Tate module) \otimes (p -adic ring of fns.)

... \rightsquigarrow **“combinatorial rearrangement”** of **basepoints** by means of a **mysterious ‘ \otimes ’!**

\longleftrightarrow the **absolute anabelian geometry** applied in a Hodge theater!

log-Link:

At nonarchimedean v of the number field F , the ring structures on either side of the **log-link** are related by a **non-ring-homomorphism** (!)

$$\log_v : \bar{k}^\times \rightarrow \bar{k}$$

— where \bar{k} is an algebraic closure of $k \stackrel{\text{def}}{=} F_v$; $G_v \stackrel{\text{def}}{=} \text{Gal}(\bar{k}/k)$.

Key point: The **log-link** is **compatible** with the isomorphism

$$\Pi_v \xrightarrow{\sim} \Pi_v$$

between the arithmetic fundamental groups Π_v on either side of the **log-link**, relative to the **natural actions** via $\Pi_v \twoheadrightarrow G_v$. Moreover, if one allows v to vary, the **log-link** is also compatible with the action of the global absolute Galois groups. Finally, at archimedean v of F , one has an analogous theory.

Θ-Link:

At bad nonarchimedean v of the number field F , the ring structures on either side of the **Θ-link** are related by a **non-ring-homomorphism** (!)

$$\mathcal{O}_{\bar{k}}^\times \xrightarrow{\sim} \mathcal{O}_{\bar{k}}^\times; \quad \Theta|_{l\text{-tors}} = \left\{ \underline{q}^{j^2} \right\}_{j=1, \dots, l^*} \mapsto \underline{q}$$

— where \bar{k} is an algebraic closure of $k \stackrel{\text{def}}{=} F_v$; $G_v \stackrel{\text{def}}{=} \text{Gal}(\bar{k}/k)$.

Key point: The **Θ-link** is **compatible** with the isomorphism

$$G_v \xrightarrow{\sim} G_v$$

between the Galois groups G_v on either side of the **Θ-link**, relative to the **natural actions** on $\mathcal{O}_{\bar{k}}^\times$. At good nonarchimedean/archimedean v of F , one can give an analogous definition, by applying the **product formula**.

Remark: It is only possible to define the “**walls/barriers**” (i.e., from the point of view of the **ring structure** of conventional ring/scheme theory) constituted by the **log-**, **Θ-links** by working with

abstract monoids/...

— i.e., of the sort that appear in a Hodge theater!

Remark: By contrast, the objects that appear in the **étale-picture** (cf. the diagram below!) — i.e., the portion of the log-theta-lattice constituted by the

arithmetic fundamental groups/Galois groups

— have the power to

slip through these “walls”!

Various versions of “**Kummer theory**” — which allow us to relate the following two types of mathematical objects:

abstract monoids = Frobenius-like objects and

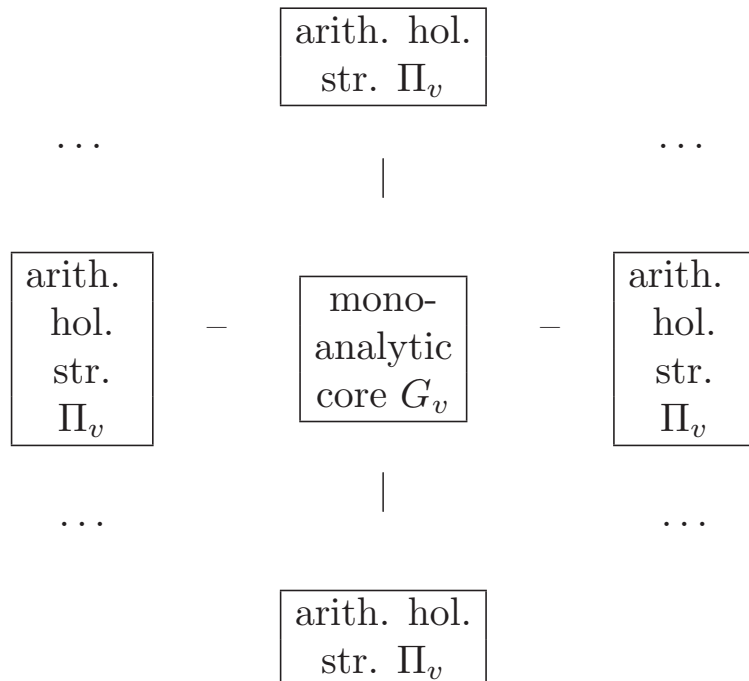
arith. fund. gps./Galois groups = étale-like objects

— play a very important role throughout IU_{Teich}! Moreover, the transition

「Frobenius-like \rightsquigarrow étale-like」

may be regarded as a global analogue over number fields of the computation — i.e., via “cartesian coords. \rightsquigarrow polar coords.” — of the classical Gaussian integral

$$\int_{-\infty}^{\infty} e^{-x^2} dx = \sqrt{\pi} !$$



Main objects to which **Kummer theory** is **applied** (cf. **LHS** of **Θ -link!**):

(a) **gp. of units** $\mathcal{O}_k^\times \curvearrowright \widehat{\mathbb{Z}}^\times$ (nonarch. v)

(b) **values of theta fn.** $\Theta|_{l\text{-tors}} = \left\{ \underset{=}{q}^{j^2} \right\}_{j=1, \dots, l^*}$ (bad nonarch. v)

(c) a sort of “**realification**” of the **number field** F

Main focus of the theory is to protect the **cyclotomes** ($\cong \widehat{\mathbb{Z}}(1)$) contained in the monoids where (b), (c) appear from the **indeterminacy** “ $\curvearrowright \widehat{\mathbb{Z}}^\times$ ”, i.e., **cyclotomic rigidity!**

Case of (b): **theory of étale theta fn.** \implies cyclo. rig.

Case of (c): **elem. alg. no. theory** \implies cyclo. rig.

The Kummer theory of (b), (c) is well-suited to the resp. portions of a Hodge theater where the **symmetries** act (cf. the chart below)!

This state of affairs closely resembles the (well-known) elementary theory of the “**functions**” associated to the various **symmetries** of the classical upper half-plane \mathfrak{H} (cf. the chart below)!

	The classical upper half-plane \mathfrak{H}	$\Theta^{\pm\text{ell}}$ NF-Hodge theaters in IUTch
(Cuspidal) add. symm.	$z \mapsto z + a,$ $z \mapsto -\bar{z} + a \quad (a \in \mathbb{R})$	$\mathbb{F}_l^{\times \pm -}$ symmetry
“ Functions ” assoc. to add. symm.	$q \stackrel{\text{def}}{=} e^{2\pi iz}$	$\Theta _{l\text{-tors}}$ $= \left\{ \underset{=}{q}^{j^2} \right\}_{j=1, \dots, l^*}$
(Nodal/toral) mult. symm.	$z \mapsto \frac{z \cdot \cos(t) - \sin(t)}{z \cdot \sin(t) + \cos(t)},$ $z \mapsto \frac{\bar{z} \cdot \cos(t) + \sin(t)}{\bar{z} \cdot \sin(t) - \cos(t)} \quad (t \in \mathbb{R})$	\mathbb{F}_l^* - symmetry
“ Functions ” assoc. to mult. symm.	$w \stackrel{\text{def}}{=} \frac{z-i}{z+i}$	elements of no. fld. F

In fact, this portion of IU Teich closely resembles, in many respects (cf. the chart below!), **Jacobi's identity**

$$\theta(t) = t^{-1/2} \cdot \theta(1/t)$$

— which may be thought of as a sort of **function-theoretic** version of the **Gaussian integral** that appeared in the discussion above — concerning the classical **theta function** on the upper half-plane

$$\theta(t) = \sum_{n=-\infty}^{\infty} e^{-\pi n^2 t}.$$

<u>IUTeich</u>	<u>Theory of Jacobi's identity</u>
rigidity properties of étale theta fn.	invariance of Gaussian distrib. w.r.t. Fourier transform
the indeterminacy $\mathcal{O}_{\bar{k}}^{\times} \curvearrowright \widehat{\mathbb{Z}}^{\times}$	unit factor in Fourier transform $f(-) \cdot e^{it}, t \in \mathbb{R}$
proof of rig. properties via quad'icity of theta gp. $[-, -]$	proof of Fourier invariance via quad'icity of exp. of Gauss. dist.
$\left\{ \underset{=}{q^{j^2}} \right\}_{j=1, \dots, l^*}$	Gaussian expansion of theta fn.
Abs. anab. geom. applied to rotation of \boxplus, \boxtimes via log-link	Analytic continuation $\infty \rightsquigarrow 0$, the rotation $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \iff t \mapsto \frac{1}{t}$
Local/global functoriality of abs. anab. algorithms, Belyi cuspidalization	Zariski-localizable isomorphism betw. Galois cohom. and diffs. in p -adic Hodge theory

§4. Inter-universality and Anabelian Geometry

Note that the \log -, Θ -links are not compatible with the ring structures

$$\log_v : \bar{k}^\times \rightarrow \bar{k}, \quad \Theta|_{l\text{-tors}} = \left\{ \underline{\underline{q^{j^2}}} \right\}_{j=1, \dots, l^*} \mapsto \underline{\underline{q}}$$

in their domains and codomains, hence are not compatible, in a quite essential way, with the scheme-theoretic “basepoints” and

$$\text{Galois groups} \quad \left(\subseteq \text{Aut}_{\text{field}}(\bar{k}) \quad !! \quad \right)$$

that arise from ring homomorphisms! That is to say, when one passes to the “opposite side” of the \log -, Θ -links,

$$“\Pi_v” \text{ and } “G_v”$$

only make sense in their capacity as abstract topological groups!

\implies As a consequence, in order to compute the relationship between the ring structures in the domain and codomain of the \log -, Θ -links, it is necessary to apply anabelian geometry! At the level of previous papers by the author, we derive the following Main Theorem by applying the results and theory of

- Semi-graphs of Anabelioids · The Geometry of Frobenioids I, II
- The Étale Theta Function ... · Topics in Absolute Anab. Geo. III

concerning

absolute anabelian geometry and
various rigidity properties of the étale theta function.

Main Theorem: One can give an explicit, algorithmic description, up to mild indeterminacies, of the LHS of the Θ -link in terms of the “alien” ring structure on the RHS of the Θ -link.

Key points:

- the **coricity** (i.e., coric nature) of $G_v \curvearrowright \mathcal{O}_{\frac{\times}{k}} !$
- various versions of “**Kummer theory**”, which allow us to relate the following two types of mathematical objects (cf. the latter portion of §3):

abstract monoids = **Frobenius-like** objects and
arith. fund. gps./Galois groups = **étale-like** objects.

Here, we recall the analogy with the computation of the **Gaussian integral**:

definition of **log-, Θ -link**, log-theta-lattice \longleftrightarrow **cartesian coords.**

algor. descr. via **abs. anab. geom.** \longleftrightarrow **polar coords.**

crucial rigidity of **cyclotomes** ($\cong \widehat{\mathbb{Z}}(1)$) \longleftrightarrow coord. trans. via $\mathbb{S}^1 \curvearrowright$

- The **log-link** plays an indispensable role in the context of realizing the action on the “**log-shell**” = “**container**”

$$\log(\mathcal{O}_{\frac{\times}{k}}) \curvearrowright \left\{ \underline{q}^{j^2} \right\}_{j=1, \dots, l^*}$$

- ... but various technical difficulties arise as a consequence of the **noncommutativity** of the **log-theta-lattice**.

\implies in the subsequent “volume computation”,
 one only obtains an **inequality** (i.e., not an equality)!

By performing a **volume computation**, as discussed in §1, concerning the output of the algorithms of the above Main Theorem, one obtains:

Corollary: The “**Szpiro Conjecture**” (\iff “**ABC Conjecture**”).

This portion of the theory resembles, in many respects, the theory surrounding **Jacobi's identity**, as discussed at the end of §3:

<u>IUTEICH</u>	<u>Theory of Jacobi's identity</u>
Changes of universe , i.e., labeling apparatus for sets	Changes of coordinates , i.e., labeling apparatus for points of a space
computation of volume of log-shell $\log(-)$	computation via polar coordinates of Gaussian integral $\int_{-\infty}^{\infty} e^{-x^2} dx = \sqrt{\pi}$
Startling application to diophantine geometry	Startling improvement in computational accuracy of values of classical theta function

Relative to the analogy with the classical theory concerning hyperbolic curves over p -adic local fields and the geometry of Riemann surfaces over \mathbb{C} , the corresponding **inequalities** (which may be regarded as expressions of "**hyperbolicity**") are as follows:

- the degree $= (2g - 2)(1 - p) \leq 0$ of the

$$\text{“Hasse invariant} = \frac{1}{p} \cdot d(\text{Frob. lift.})\text{”}$$

in **p Teich**,

- the **Gauss-Bonnet Theorem** for a hyperbolic Riemann surface S

$$0 > - \int_S (\text{Poincaré metric}) = 4\pi(1 - g).$$